

09/27/01



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10-01-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002.OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2102680-990101

First Inventor Victor Hsieh

Title Online Intelligent Information Comparison...

Express Mail Label No. EL864389877US

PTO SB/05
09/27/01

09/27/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification [Total Pages. 64]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Description of the Drawings (if filed)
- Detailed description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawings(s) (35 U.S.C. 113) [Total Sheets 36]5. ☒ Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR. 1.76.7. ☒ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix — 10 pgs. (hard copy of computer program listing which is on the accompanying CD-ROM))8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies; orii. ☐ paperc. ☒ Statements verifying identify of above copies**ACCOMPANYING APPLICATION PARTS**9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.17. ☒ Other: Declaration of Victor Hsieh (regarding name change) Note: Docket no. on doc. should be 2102680-990101.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior acceptance No.: _____ / _____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

29585

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name Gerald T. Sekimura

Address Gray Cary Ware & Freidenrich LLP

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NAME (Print/Type) Gerald T. Sekimura

Registration No. (Attorney/Agent) 30,103

Signature

Date September 27, 2001

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|----------------|
| Application Number | New |
| Filing Date | Herewith |
| First Named Inventor | Victor Hsieh |
| Examiner Name | Not Assigned |
| Group Art Unit | Not Assigned |
| Attorney Docket No. | 2102680-990101 |

| | |
|--------------------------------|-------------------|
| TOTAL AMOUNT OF PAYMENT | (\$530.00) |
|--------------------------------|-------------------|

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

07-1896

Deposit
Account
Name

Gray Cary Ware & Freidenrich LLP

- ☒ Charge Any additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 710 | 201 | 355 | Utility filing fee | 355.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$355.00)**2. EXTRA CLAIM FEES**

| Total Claims | | Extra Claims | | Fee from below | | Fee Paid | |
|--------------------|---------|--------------|---|----------------|---|----------|--|
| 35 | -20** = | 15 | X | 9.00 | = | 135.00 | |
| 4 | -3** = | 1 | X | 40.00 | = | 40.00 | |
| Independent Claims | | | | | | | |
| Multiple Dependent | | X | | | | | |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$175.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unavoidable | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**

Name (Print/Type)

Gerald T. Sekimura

Registration No.
(Attorney/Agent)

30,103

Complete (if applicable)

Telephone

(415) 836-2500

Signature

Date

9-27-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Express Mail Certificate

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Service" Label No. EL864389877US postage paid in an envelope, addressed to: Commissioner for Patents, Washington, DC 20231 on September 27, 2001.
Gray Cary Ware & Freidenreich LLP

By: Ta-Tanisha L. Moore

Name: Ta-Tanisha L. Moore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for: Victor Hsieh (formerly known
as Viet Fai Tse Hsieh)

Application No.: new

Filed: Herewith

For: ONLINE INTELLIGENT INFORMATION
COMPARISON AGENT OF MULTILINGUAL
ELECTRONIC DATA SOURCES OVER
INTER-CONNECTED COMPUTER
NETWORKS

TRANSMITTAL LETTER

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Transmitted herewith are two (2) identical compact discs (Copy 1 and Copy 2) which contain the same Sequence Listing being submitted under §1.821(c)) for the above-referenced invention. Pursuant to 37 CFR 1.52, the following information is provided about the information on the compact disc:

Machine Format: IBM-PC
Operating System: MS-Windows
List of Files: Name: Implementation.txt
size (bytes): 14KB
date of creation: 9/18/2001

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: Sept. 27, 2001

By Gerald T. Sekimura
Gerald T. Sekimura
Reg. No. 30,103
Attorney for Applicant

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